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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/930,918	08/16/2001	Gary S. Foster	01985-P0040B	2566

24126 7590 07/18/2005

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EXAMINER
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FELTEN, DANIEL S

ART UNIT	PAPER NUMBER
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3624

DATE MAILED: 07/18/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## DETAILED ACTION

### *Requirement for information.*

1. Applicant and the assignee of this application are required under 37 CFR 1.105 to provide the following information that the Examiner has determined is reasonably necessary to the examination of this application.

(1) a copy of any non-patent literature, published application, or patent (U.S. or foreign) By any of the inventors, or information that was used in the invention process, such as by designing around or providing a solution to accomplish an invention result. In response to the requirement, please provide the title, citation and copy of each publication that any of the applicants relied upon to develop the disclosed subject matter that describes the applicant's invention, particularly as to developing the features of providing a set of predefined acceptable trade parameters extracted from the first trading party profile and the second trading profile; and software executing on said computer for comparing said trade execution information with said trade allocation information, and for determining that a match exists if said trade execution information and said trade allocation information correlate within said set of predefined acceptable trade parameters, indications of what enrichment data should be used to enrich said trade execution information and said trade allocation information, and indications of where the enrichment data which should be used to enrich said trade wherein the enrichment options of the first trading party execution information and said trade allocation information is located. profile and the enrichment options of the second trading party profile are dependent on both the first trading party identification and the second trading party identification.

Art Unit: 3624

For each publication, please provide a concise explanation of the reliance place on that publication in the development of the subject matter.

(2) a working prototype of the invention that can be tested by the examiner. Is the invention currently available commercially? In response to this requirement, please provide the names of any products or services that have incorporated the claimed subject matter.

(3) trade names and providers of any products or services in competition with the invention.

(4) Identification of the true assignees of the current invention.

2. In responding to those requirements that require copies of documents, where the document is bound text or single article over 50 pages, the requirement may be met by providing copies of those pages that provide the particular subject matter found in applicant's disclosure.

The fee and certification requirements of 37 CFR 1.97 are waived for those documents submitted in reply to this requirement. This waiver extends only to documents within the scope of the requirement under 37 CFR 1.105 that are included in the applicant's first complete communication responding to this requirement. Any supplemental replies subsequent to the first communication responding to this requirement and any information disclosures beyond the scope of this requirements of 37 CFR 1.97 where appropriate.

The applicant is reminded that the reply to this requirement must be made with candor and good faith under 37 CFR 1.56. Where the applicant does not have or can not readily obtain an item of required information, a statement that the item is unknown or cannot be readily obtained will be accepted as a complete reply to the requirement for that item.

Art Unit: 3624


This requirement is subject to the provisions of 37CFR 1.134, 1.135 and 1.136 and has a shortened statutory period of 2 months. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Daniel S Felten whose telephone number is (703) 305-0724. The examiner can normally be reached on Flex.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Vincent Millin can be reached on (703) 308-1065. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Daniel S Felten  
Examiner  
Art Unit 3624

  
DSF  
July 07, 2005

VINCENT MILLIN  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 3600

